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TRA	NSMITTA	Docket No. YOR920030602US1								
In Re Application Of: Joel P. de Souza et al.										
Application No. Filing Date Customer No. Group Art Unit C										
10/	725,850	December 2, 2003	Dao H. Nguyen	23389	2818	3232				
Title:	Title: PLANAR SUBSTRATE WITH SELECTED SEMICONDUCTOR CRYSTAL ORIENTATIONS FORMED BY LOCALIZED AMORPHIZATION AND RECRYSTALLIZATION OF STACKED TEMPLATE LAYERS									
	Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450									
			37 CFR 1.97(b)							
1.	The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.									
			37 CFR 1.97(c)							
2. 🔀										
	☑ the statement specified in 37 CFR 1.97(e);									
			OR							
:	the fee set forth in 37 CFR 1.17(p).									

TRA	NSMITTA		ATION DISCLOS R 1.97(b) or 1.87		Docket No. YOR920030602US1						
In Re /	Application o	f: Joel P. de Souza	oct 1.1 2	7005 Jul							
Application No. Filing Date Customer No. Group Art Uni							Confirmation No.				
10	/725,850	December 2, 2003	Dao H. Ngu		23389	2818	3232				
Title:	Title: PLANAR SUBSTRATE WITH SELECTED SEMICONDUCTOR CRYSTAL ORIENTATIONS FORMED BY LOCALIZED AMORPHIZATION AND RECRYSTALLIZATION OF STACKED TEMPLATE LAYERS										
		(Only cor	Paymenplete if Applicant elec	ent of Fee ts to pay the f	ee set forth in 37	CFR 1.17(p))					
	 A check in the amount of is attached. ∑ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of ∑ Credit any overpayment. ∑ Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. 										
	Certific	ate of Transmission b	v Facsimile*	Cei	rtificate of Mail	ling by First Clas	ss Mail				
	certify that this	document and authoriza g facsimile transmitted emark Office (Fa	tion to charge deposit	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] op October 7, 2005 (Date) Signature of Person Mailing Correspondence Leslie S. Szivos, Ph.D.							
l .		Signature									
	Typed or l	Printed Name of Person Sig	ning Certificate	Тур	Typed or Printed Name of Person Mailing Certificate						
Leslie Scully,	S. Szivos, Ph. Scott, Murp	hy & Presser aza - Suite 300	if paying by	Dated:	October 7, 2005	5					
(516) 7	/42-4343 (tele /42-4366 (fac	phone)									
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cc:											



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Joel de Souza et al.

Docket:

YOR920030602US1

(17242)

Serial No.:

10/725,850

Examiner:

Dao H. Nguyen

Filed:

December 2, 2003

Art Unit:

2818

3232

For:

PLANAR SUBSTRATE WITH

Dated:

October 7, 2005

SELECTED SEMICONDUCTOR

CRYSTAL ORIENTATIONS FORMED BY LOCALIZED AMORPHIZATION AND

RECRYSTALLIZATION OF STACKED TEMPLATE LAYERS

Confirmation No:

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent Application Publication Number 2005/0016290 dated June 2, 2005, issued to de Souza et al.;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents P. O. Box 1450, Alexandria, VA 22313-1450 on October 7, 2005.

Dated: October 7, 2005

Leslie S. Szivos, Ph.D.

2. United States Patent Number 5,384,473 dated January 24, 1995, issued to Yoshikawa et al.:

3. United States Patent Number 6,815,278 B1 dated November 9, 2004, issued to

Icong et al.;

4. Csepregi, L. et al., Substrate-orientation dependence of the epitaxial regrowth

rate from Si-implanted amorphous Si, 49:7 J. Appl. Phys. 3906, 3906-10, (1978).

5. 6th International Symposium on Semiconductor Wafer Bonding, An investigation into interfacial oxide in direct silicon bonding, (September 2001);

and

6. Solid State Technology, SOI wafers based on epitaxial technology, (2000),

available at http://sst.pennnet.com/articles/article display.cfm?Section=

ARCHI&C=Feat&ARTICLE ID=75323&KEYWORDS=K%2E%20

Sakaguchi&p=5.

Applicants are submitting copies of the above-cited references.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30,

2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

No item of information contained in the information disclosure statement was

cited in a communication from a foreign patent office in a counterpart foreign application, and to

the knowledge of the undersigned, after making reasonable inquiry, no item of information was

known to any individual designated in §1.56(c) more than three months prior to the filing of the

information disclosure statement.

Respectfully submitted,

Leslie S. Szivos

Registration No.: 39,394

Scully, Scott, Murphy & Presser 400 Garden City Plaza - Ste. 300 Garden City, New York 11530 (516) 742-4343

LSS:vh/ar

Enclosure PTO 1449

INFORMATION DISCLOSURE CITATION					ATTY DOCKET NO.		APPLICATION NO.			
					YOR920030602US1	(17242)	10/725,850			
	INFURIVIATION		APPLICANT(S) Joel P. de Souza et al.							
(Use several sheets if propessary)					FILING DATE	<u>-</u>	GROUP ART UN	JIT		
		/			December 2, 20			2818		
		90CT	11 7005 W.	S. PATENT	DOCUMENTS		7			
*EXAMINER					NAME		SUBCLASS	JBCLASS FILING DATE		
INITIAL						 		IF APPROPRIATE		
	5,384,473		01/24/1995	Yoshikaw	a et al.					
	6,815,278 B1 11/09/2004			Icong et a	l.		` `			
			U.S. PATEN	T APPLICA	ATION PUBLICATIONS					
*EXAMINER INITIAL	DOCUM	ENT NUMBER	DATE		NAME		SUBCLASS	FILING DATE IF APPROPRIATE		
	2005/0016290	2005/0016290 06			deSouza et al.			• .		
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			FORE	IGN PATE	NT DOCUMENTS					
~	DOCUM	ENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	ATION	
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		OTHER DOCUME	NTS (Includin	g Author	, Title, Date, Pertinent i	Pages, Etc.)			
	Csepregi, L. et al., Substrate-orientation dependence of the epitaxial regrowth rate from Si-implanted amorphous Si, 49:7 J. Appl. Phys. 3906, 3906-10, (1978);									
	6th International Symposium on Semiconductor Wafer Bonding, An investigation into interfacial oxide in direct silicon bonding, (September 2001); and									
EXAMINER					DATE CONSIDERED					
	ER: Initial if reference d. Include copy of this				nance with MPEP 609; Draw	line through	citation if not in o	conformance	and not	

Form PTO-A820 (also form PTO-1449)

(Use several sheets if necessary)					ATTY DOCKET NO. YOR920030602US1 (PPLICATION NO. 10/725,850					
					Joel P. de Souza et al.							
						FILING December 2, 200		GROUP ART 2818				
PATENT DOCUMENTS												
*EXAMINER			DOCUMENT NUMBER	TRADEMIAN		NAME CLASS		SUBCLASS FILING DATE IF APPROPRIA				
	U.S. PATENT APPLICATION PUBLICATIONS											
*EXAMINER INITIAL		DOCUMENT NUMBER DATE				NAME CLASS		SUBCLASS FILING DA				
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				FORE	IGN PATE	NT DOCUMENTS						
			DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	NO NO		
	•		OTHER DOCUMEN	NTS (Includin	g Author	, Title, Date, Pertinent F	Pages, Etc	.)				
			Solid State Technology, SOI wafers based on epitaxial technology, (2000), available at http://sst.pennnet.com/articles/article_display.cfm?Section=ARCHI&CFeat&ARTICLE_ID/5323&KEYWORDS=K%2E%20Sakaguchi&p=5									
EXAMINE	R					DATE CONSIDERED						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												

Form PTO-A820 (also form PTO-1449)